



**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Kendyl A. Roman

Application No./Patent No.: 09/436,432 Filed/Issue Date: 11/08/1999

Entitled: **SYSTEM FOR TRANSMITTING VIDEO IMAGES OVER A COMPUTER NETWORK TO A REMOTE RECIEVER**

Kendyl A. Roman, an individual  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- the assignee of the entire right, title, and interest; or
- an assignee of less than the entire right, title and interest.

The extent (by, percentage) of its ownership interest is \_\_\_\_\_%  
in the patent application/patent identified above by virtue of either:

A. [ ] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. [X] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: IntraCom Corporation To: Kendyl A. Roman  
The document was recorded in the United States Patent and Trademark Office at Reel 012585, Frame 0581, or for which a copy thereof is attached.

2. From: Carl P. Daniel To: IntraCom Corporation  
The document was recorded in the United States Patent and Trademark Office at Reel 010537, Frame 0720, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

[ ] Additional documents in the chain of title are listed on a supplemental sheet.

[X] Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

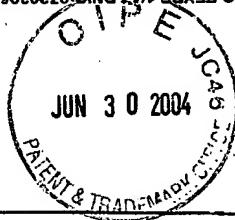
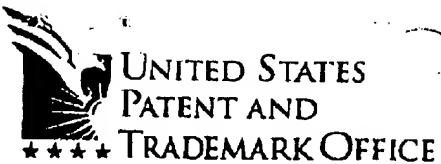
6/30/2004

Date

Kendyl A. Roman, Assignee

Typed name/Title

Signature



APRIL 15, 2002

PTAS

Chief Information Officer  
Washington, DC 20231  
[www.uspto.gov](http://www.uspto.gov)

KENDYL ROMAN  
730 BANTRY COURT  
SUNNYVALE, CA 94087-3402



\*101990188A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/04/2002

REEL/FRAME: 012585/0581  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
INTRACOM CORPORATION

DOC DATE: 12/18/2001

ASSIGNEE:  
ROMAN, KENDYL  
730 BANTRY COURT  
SUNNYVALE, CALIFORNIA 94087-3402

SERIAL NUMBER: 60085818  
PATENT NUMBER:

FILING DATE: 05/18/1998  
ISSUE DATE:

SERIAL NUMBER: 09312922  
PATENT NUMBER:

FILING DATE: 05/17/1999  
ISSUE DATE:

SERIAL NUMBER: 09433978  
PATENT NUMBER:

FILING DATE: 11/04/1999  
ISSUE DATE:

SERIAL NUMBER: 09436432  
PATENT NUMBER:

FILING DATE: 11/08/1999  
ISSUE DATE:

012585/0581 PAGE 2

SERIAL NUMBER:  
PATENT NUMBER:  
PCT NUMBER: US0990894

FILING DATE:  
ISSUE DATE:

MARCUS KIRK, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

FORM PTO-1595  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)  
Tab Settings: → → → ↓ ↓

02-22-2002

EE

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

101990188

To the Assistant Commissioner of Patents: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

IntraCom Corporation

2-4-02

Additional name(s) of conveying party(ies) attached?  Yes  No

## 3. Nature of Conveyance:

Assignment  Merger  
 Security Agreement  Change of Name  
 Other \_\_\_\_\_

Execution Date: 2001 Dec 18

## 2. Name and address of receiving party(ies):

Name: Kendyl Roman

Internal Address: \_\_\_\_\_

Street Address: 730 Bantry Court

City: Sunnyvale State: CA ZIP: 94087-3402

Additional name(s) & address(es) attached?  Yes  No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

## A. Patent Application No.(s)

60/085,818; PCTUS 99/0894; 09/312,922; 09/433,978;  
09/436,432 1

## B. Patent No.(s)

FEB - 4 2002

Additional numbers attached?  Yes  No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kendyl Roman

Internal Address: \_\_\_\_\_

Street Address: 730 Bantry Court

City: Sunnyvale State: CA Zip: 94087-3402

## 6. Total Number of applications and patents involved: (5)

7. Total fee (37 CFR 3.41) \$40 x 5 = \$ 200.00

 Enclosed Authorized to be charged to deposit account

## 8. Deposit account number: \_\_\_\_\_

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kendyl Roman

Name of Person Signing

Signature

2002 Jan 15

Date

(3)

Total number of pages including cover sheet, attachments, and document:

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents, Box Assignments

Washington, D.C. 20231

02/21/2002 LMUELLER 00000022 60085818

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91 FC:581

## PATENT ASSIGNMENT

This Assignment is made by, **IntraCom Corporation**, a **Delaware corporation** ("Assignor"), having an address at 3685 Mt. Diablo Blvd. #254, Lafayette, CA 94549, United States of America, to, **Kendyl Roman** ("Assignee"), having an address at 730 Bantry Court, Sunnyvale, California, 94087, United States of America.

WHEREAS, Assignee desires to acquire the entire right, title and interest in the patent applications described below;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor agrees as follows:

Assignor hereby assigns and transfers to Assignee, all right, title and interest in and to the following patent applications, including the right to file foreign applications directly in the name of Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise:

<u>Patent Application</u>	<u>Title</u>	<u>Filing Date</u>
<u>Serial No./Docket No.</u>		
60/085,818 ICOM-400	Apparatus for Transmitting Live Video Images Over Computer Network to Multiple Remote Receivers	5/18/98
09/312,922 ICOM-401	System for Transmitting Video Images Over a Computer Network to a Remote Receiver	5/17/99
PCT/US 99/0894 ICOM-402	System for Transmitting Video Images Over a Computer Network to a Remote Receiver	5/17/99
09/436,432 ICOM-600	System for Transmitting Video Images Over a Computer Network to a Remote Receiver	11/8/99
09/433,978 ICOM-0500	Graphical User Interface Including Zoom Control Box Representing Image and Magnification of Displayed Image	11/4/99

Further, Assignor agrees that, upon request and without further compensation, Assignor, or Assignor's legal representatives and assignees, will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for successfully prosecuting the foregoing patent applications and all applications, both foreign and domestic, claiming priority therefrom, and for obtaining,



sustaining, reissuing or enforcing, perfecting, recording or maintaining all right, title and interest of Assignee, its successors and assignees, to the interest assigned herein in the United States and abroad.

Assignor represents and warrants that it has not granted and will not grant to others any rights inconsistent with the assignment herein.

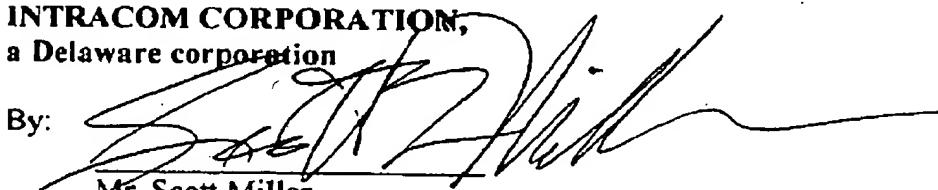
Assignor authorizes and requests the Commissioner of Patents and Trademarks of the United States and of all foreign countries to issue any Letters Patent granted from the patent application, whether on the patent applications or on any subsequently filed divisions, continuations, continuation-in-parts or reissue applications, to Assignee, its successors and assignees, as Assignee of the entire interest in said invention.

Assignor agrees that this assignment is effective as of December 14, 2001. It has read and understood this Assignment document for the patent applications and agree, as indicated by its signature as set forth below.

Executed in Lafayette, California this December 18, 2001.

**INTRACOM CORPORATION,**  
a Delaware corporation

By:

  
Mr. Scott Miller  
Chairman and Chief Executive Officer



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APRIL 18, 2000

PTAS

HAVERSTOCK & OWENS LLP  
JONATHAN D. OWENS  
260 SHERIDAN AVENUE  
SUITE 420  
PALO ALTO, CA 94306



\*101266593A\*

**UNITED STATES PATENT AND TRADEMARK OFFICE**  
**NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/07/2000

REEL/FRAME: 010537/0720  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
DANIEL, CARL P.

DOC DATE: 01/24/2000

ASSIGNEE:  
INTRACOM CORPORATION  
1320 HARBOR BAY PARKWAY  
SUITE 240  
ALAMEDA, CALIFORNIA 94502

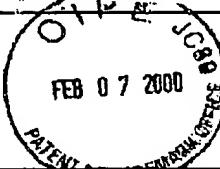
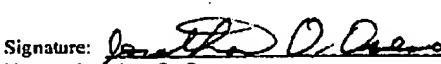
SERIAL NUMBER: 09436432  
PATENT NUMBER:

FILING DATE: 11/08/1999  
ISSUE DATE:

JOANN STEWART, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

RECORDED  
MAY 04 2000

02-14-2000

FORM PTO-1595 (Rev. 06/93)	R		EET	U.S. Department of Commerce Patent and Trademark Office
OMB No. 0651-0011 (Exp. 04/94)		101266593	Attorney Docket No.: ICOM-00600	
Assistant Commissioner for Patents Washington, D.C. 20231		 <span style="font-size: 2em; vertical-align: middle;">2-7-00</span>		
<p>Sir:</p> <p>Please record the attached original documents or copy thereof.</p>				
1. Name of conveying party(ies):  Carl P. Daniel		2. Name and address of receiving party(ies):  IntraCom Corporation 1320 Harbor Bay Parkway, Suite 240 Alameda, CA 94502		
3. Nature of conveyance:  <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: _____		4. Additional name(s) and address(es) attached.		
Execution Date: January 24, 2000				
4. Application number(s) or patent number(s):  <input checked="" type="checkbox"/> This document is being filed together with a new application, the execution date of the application is: <input checked="" type="checkbox"/> Patent Application Serial No. (s): 09/436,432 filed on November 8, 1999. <input type="checkbox"/> Patent No.(s): _____ <input type="checkbox"/> Additional numbers attached.				
5. Name and address of party to whom correspondence concerning document should be mailed:  Jonathan O. Owens HAVERSTOCK & OWENS LLP 260 Sheridan Avenue, Suite 420 Palo Alto, California 94306		6. Total number of applications and patents involved: 1.  7. Total fee(s) [37 CFR §§ 1.21(h) and 3.41]:  <input checked="" type="checkbox"/> Check(s) in the amount of \$105.00 (\$65.00 surcharge for missing parts fee plus \$40.00 assignment recordation) enclosed.		
		8. Authorization to Charge Additional Fees:  <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees or credit any overpayment associated with this communication and which may be required under 37 CFR §§ 1.21(h) and 3.41 to Deposit Account No. 08-1275.		
9. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.				
Dated: January 28, 2000		Signature:  Name: Jonathan O. Owens Reg. No.: 37,902		
Total number of pages including cover sheet, attachments, and document: 2				

02/14/2000 101266593 09436432  
RE FC:SS1 40.00 SP

PATENT  
Attorney Docket No.: ICOM-00600

ASSIGNMENT

WHEREAS, I, Carl P. Daniel, hereinafter referred to as "ASSIGNOR", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: **SYSTEM FOR TRANSMITTING VIDEO IMAGES OVER A COMPUTER NETWORK TO A REMOTE RECEIVER**

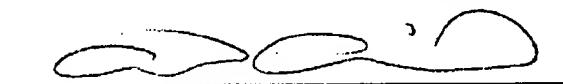
Date of Execution: January 24, 2000 Filing Date: November 8, 1999 Serial No.: 09/436,432

WHEREAS, IntraCom Corporation, a corporation of the State of Delaware, 1320 Harbor Bay Parkway, Suite 240, Alameda, CA 94502, hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in said invention and application and in any Letters Patent which may be granted on the same;

NOW THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged, Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto said Assignee, and Assignee's successors and assigns, all right, title and interest in and to said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations, in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignors had this assignment and transfer not been made, to the full end and term of any Letters Patent.

Assignor further agrees that he will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has hereunto signed his name to this assignment on the date indicated below.

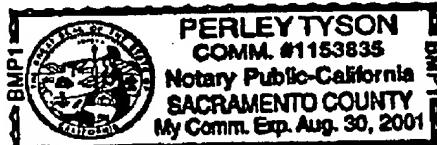
  
Carl P. Daniel

STATE OF California  
COUNTY OF Sacramento

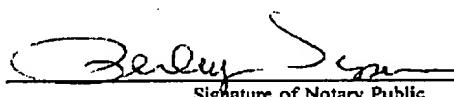
On 1-24-2000 before me, Perley Tyson  
personally appeared Carl P. Daniel

personally known to me - OR -  proved to me on the basis of satisfactory evidence to be the person(s) whose names are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.



SEAL

  
Signature of Notary Public

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
CENTRAL FAX CENTER

JUN 30 2004

OFFICIAL

Application of: Carl P. Daniel

Art Unit: 2611

Application No.: 09/436,432

Examiner: Kieu Oanh T. Bui

Filed: 1999 November 08

Title: System for Transmitting Video Images Over a Computer Network to a  
Remote Receiver

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

AMENDMENT TO CORRECT INVENTORSHIP  
UNDER 37 C.F.R. 1.48 PURSUANT TO 35 U. S. C. 116

Sir:

It is requested that the inventorship of the above named patent application, 09/436,432, be corrected to reflect all true inventors by adding Kendyl A. Román so that the inventor list is:

- (1) Kendyl A. Román
- (2) Carl P. Daniel

I, Kendyl A. Román, hereby declare that the omission of my name on the patent application with serial number 09/436,432 was an error that occurred without deceptive intention on my part.

**Summary of Facts**

I, Kendyl A. Roman, conceived of, designed and developed a product that was licensed to IntraCom Corporation.

I am the primary inventor of the inventions disclosed in U.S. provisional application 60/085,818, filed of May 18, 1998. I first conceived and drew Figure 1 of the 60/085,818 application (from which Figure 2 of the present invention was derived). I assigned the 60/085,818 application to IntraCom Corporation.

I disclosed to Jonathan Owens other aspects of my inventions, which were disclosed in U.S. application 09/312,922, filed May 17, 1999 (which also contained a modified version of my original drawing).

I am listed as an inventor in WO 99/59472 ("Figuredo"), published November 25, 1999, international application number PCT/US99/10894, which claimed priority based on U.S. provisional application 60/085,818 dated of May 18, 1998 and U.S. application

09/312,922 dated May 17, 1999). Figuredo also contains a modified version of my original drawing. The applicant in Figuredo was IntraCom Corporation.

I became a manager at IntraCom Corporation. I hired Carl P. Daniel and ensured that he executed a confidentiality agreement wherein he was under an obligation to assign any improvements or inventions to IntraCom Corporation. Under the confidentiality agreement, I disclosed to Mr. Daniel the details of my inventions including certain unimplemented features of my inventions, including my idea of an edit list wherein a user could mark one or more sections of interest for special processing. His work on those features resulted in the invention as now claimed in the present invention. At the time of the invention of the present application, US application 60/085,818, Figuredo (WO 99/59472), and Mr. Daniel's improvements were subject to common assignment to IntraCom Corporation.

In accordance with his obligation, Carl P. Daniel assigned the present application to IntraCom Corporation (see attached assignment recorded on Reel 010537, Frame 0720).

At the time IntraCom Corporation, Mr. Daniel, and Mr. Owens filed the subject patent application, I was no longer associated with IntraCom Corporation. The patent application was filed in the name of Carl P. Daniel without my knowledge or participation.

In late 2001, I became aware that IntraCom had filed the subject patent application. On December 18, 2001, IntraCom Corporation assigned US applications 60/085,818, 09/312,922, 09/436,432 (the present application), and PCT/US99/10894 (Figuredo) to me, Kendyl A. Román (see attached assignment recorded on Reel 012585, Frame 0581).

Thus, Figuredo and the present application have always had common ownership, either IntraCom Corporation or Kendyl A. Román.

In January 2002, I obtained access to the subject patent application. My review of the specification and claims revealed that the patent claims include subject matter for which I have contributed as the inventor. For example, Figure 2 of the subject application is derived from my original drawing, and although it is not identical to my original drawing the changes made were first conceived and described by me as disclosed in the 09/312,922 application. Many of the claims include those elements. Further, many claims disclose improvements to my idea of an edit list comprising one or more marked sections of interest. Therefore, an amendment to add me as a joint inventor is necessitated.



Date: 6/30/2004

Kendyl A. Roman, Inventor and Assignee of Entire Interest

Application # 09/436,432

DECLARATION FOR PATENT APPLICATION

As a below-named inventor, I hereby declare that my residence, post office address, and citizenship are as stated below next to my name and that I believe that I am an original inventor of the subject matter which is claimed and for which a patent is sought on the invention, application #09/436,432, filed 11/08/99, as amended on June 30, 2004 which has the following title:

"SYSTEM FOR TRANSMITTING VIDEO IMAGES OVER A COMPUTER NETWORK TO A REMOTE RECIEVER"

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to in the oath or declaration. I acknowledge a duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, Section 1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby claim the benefit under Title 35, United States Code, § 119(e) of United States patent application Serial No. 09/312,922, filed on May 17, 1999.

Please send correspondence and make telephone calls to:

Kendyl Roman  
730 Bantry Ct.  
Sunnyvale, CA 94087  
408-739-9517



Signature: Inventor: \_\_\_\_\_

Print Name: Kendyl A. Roman Date: 6/30/2004

Legal Residence: Sunnyvale, CA Citizen of: USA

Post Office Address: 730 Bantry Ct., Sunnyvale, CA 94087

Telephone: 408-739-9517

Fax: 408-739-8884